

FORM PTO-1390  
(REV 10-2003)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NO.

980049.402USPC

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/506721****TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**INTERNATIONAL APPLICATION NO.  
PCT/US03/06793INTERNATIONAL FILING DATE  
March 4, 2003PRIORITY DATE CLAIMED  
March 7, 2002

## TITLE OF INVENTION

**QUINAZOLINONE MODULATORS OF NUCLEAR RECEPTORS**

## APPLICANT(S) FOR DO/EO/US

**Richard MARTIN, Jeffery D. KAHL, Brenton T. FLATT, and Ronald GRIFFITH**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

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10/506721		PCT/US03/06793		980049.402USPC																																		
<div>2d. <input checked="" type="checkbox"/> The following fees are submitted:</div> <div>Basic National Fee (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1080.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$920.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$770.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$730.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00</div> <div>ENTER APPROPRIATE BASIC FEE AMOUNT = \$920.00</div> <div>Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). \$130.00</div> <table><tr><td>Claims</td><td>Number Filed</td><td>Number Extra</td><td>Rate</td><td></td><td></td></tr><tr><td>Total Claims</td><td>- 20 =</td><td></td><td>x \$ 18.00</td><td>\$</td><td>.00</td></tr><tr><td>Independent Claims</td><td>- 3 =</td><td></td><td>x \$ 86.00</td><td>\$</td><td>.00</td></tr><tr><td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td><td>+ \$290.00</td><td>\$</td><td>.00</td></tr><tr><td colspan="3">TOTAL OF ABOVE CALCULATIONS</td><td>=</td><td>\$</td><td>.00</td></tr></table> <div><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. \$ .00</div> <div>SUBTOTAL = \$525.00</div> <div>Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). \$ .00</div> <div>TOTAL NATIONAL FEE = \$525.00</div> <div>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ .00</div> <div>TOTAL FEES ENCLOSED = \$525.00</div> <table><tr><td rowspan="2"></td><td>Amount to be refunded:</td><td></td></tr><tr><td>Charged:</td><td></td></tr></table> <div>a. <input checked="" type="checkbox"/> A check in the amount of \$525 cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 19-1090 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-1090. A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</div> <div>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO:</div> <div>Karl R. Hermanns Secd Intellectual Property Law Group PLLC 701 5<sup>th</sup> Avenue, Suite 6300 Seattle, WA 98104-7092 United States of America (206) 622-4900</div> <div><div>SIGNATURE</div><div>Karl R. Hermanns</div><div>NAME</div><div>33,507</div><div>REGISTRATION NUMBER</div></div>				Claims	Number Filed	Number Extra	Rate			Total Claims	- 20 =		x \$ 18.00	\$	.00	Independent Claims	- 3 =		x \$ 86.00	\$	.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$290.00	\$	.00	TOTAL OF ABOVE CALCULATIONS			=	\$	.00		Amount to be refunded:		Charged:	
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